

HARBOR PORPOISE (*Phocoena phocoena*): Gulf of Maine/Bay of Fundy Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

This stock is found in USA and Canadian Atlantic waters. The distribution of harbor porpoises has been documented by sighting surveys, strandings, and takes reported by NMFS observers in the Sea Sampling Program. During summer (July to September), harbor porpoises are concentrated in the northern Gulf of Maine and southern Bay of Fundy region, generally in waters less than 150 m deep (Gaskin 1977; Kraus *et al.* 1983; Palka 1995a, b). During fall (October-December) and spring (April-June), harbor porpoises are widely dispersed from New Jersey to Maine, with lower densities farther north and south. They are seen from the coastline into the middle of the Gulf of Maine (> 200 m deep). During winter (January to March), intermediate densities of harbor porpoises can be found in waters off New Jersey to North Carolina, and lower densities are found in waters off New York to New Brunswick, Canada. There does not appear to be a temporally coordinated migration or a specific migratory route to and from the Bay of Fundy region. Though, during the fall, several satellite tagged harbor porpoises did favor the waters around the 92m isobath, which is consistent with observations of high rates of incidental catches in this depth range (Read and Westgate 1997). There were two stranding records from Florida (Smithsonian strandings data base).

Gaskin (1984, 1992) proposed that there were four separate populations in the western North Atlantic: the Gulf of Maine/Bay of Fundy, Gulf of St. Lawrence, Newfoundland and Greenland populations. Recent analyzes involving mtDNA (Wang *et al.* 1996), organochlorine contaminants (Westgate *et al.* 1997), heavy metals (Johnston 1995), and life history parameters (Read and Hohn 1995) support Gaskin's proposal. In particular, there is a suggestion that the Gulf of Maine/Bay of Fundy females are different than Gulf of St. Lawrence females, but males were statistically indistinguishable (Palka *et al.* 1996). Research on microsatellites, a potentially powerful genetic tool, is currently being conducted to re-analyze existing genetic data and analyze new samples in order to resolve the larger scale stock structure question. This report follows Gaskin's hypothesis on harbor porpoise stock structure in the western North Atlantic; Gulf of Maine and Bay of Fundy harbor porpoises are recognized as a single management stock separate from harbor porpoise populations in the Gulf of St. Lawrence, Newfoundland, and Greenland.

POPULATION SIZE

To estimate the absolute population size of harbor porpoises aggregated in the Gulf of Maine/Bay of Fundy region, three line-transect sighting surveys were conducted during the summers of 1991, 1992 and 1995 (Table 1; Figure 1).

The population sizes were 37,500 harbor porpoises in 1991 (CV = 0.29, 95% confidence interval (CI) = 26,700-86,400) (Palka 1995a), 67,500 harbor porpoises in 1992 (CV = 0.23, 95% CI = 32,900-104,600), and 74,000 harbor porpoises in 1995 (CV = 0.20, 95% CI = 40,900-109,100) (Palka 1996). The inverse variance weighted-average abundance estimate (Smith *et al.* 1993) was 54,300 harbor porpoises (CV = 0.14, 95% CI = 41,300-71,400). Possible reasons for inter-annual differences in abundance and distribution include experimental error and inter-annual changes in water temperature and availability of primary prey species (Palka 1995b).

The shipboard sighting survey procedure used in all three surveys involved two independent teams on one ship that searched using the naked eye in non-closing mode. Abundance, corrected for $g(0)$, the probability of detecting an animal group on the track line, was estimated using the direct-duplicate method (Palka 1995a) and variability was

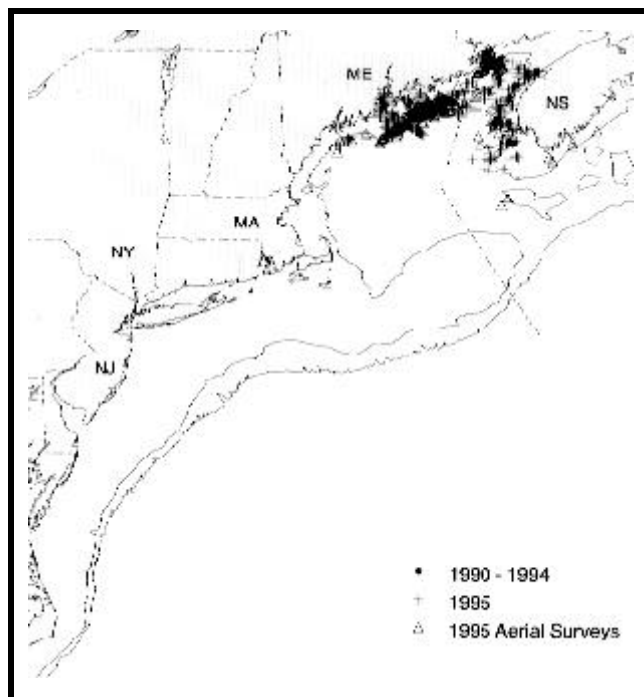


Figure 1. Distribution of harbor porpoise sightings from NEFSC shipboard and aerial surveys during the summer in 1990-1995. Isobaths are at 100 m and 1,000 m.

estimated using bootstrap re-sampling methods. Potential biases not explicitly accounted for are ship avoidance and time of submergence. During 1995 a small section of the region was surveyed by airplane while the rest of the region was surveyed by ship, as in previous years. An abundance estimate including $g(0)$ was estimated for both the plane and ship (Palka 1996). During 1995, in addition to the Gulf of Maine/Bay of Fundy area, waters from Virginia to the mouth of the Gulf of St. Lawrence were surveyed and no harbor porpoises were seen except in the vicinity of the Gulf of Maine/Bay of Fundy.

Table 1. Summary of abundance estimates for the Gulf of Maine/Bay of Fundy harbor porpoise. Month, year, and area covered during each abundance survey, and resulting abundance estimate (N_{best}) and coefficient of variation (CV).

Month/Year	Area	N_{best}	CV
Jul-Aug 1991	N. Gulf of Maine & lower Bay of Fundy	37,500	0.29
Jul-Sep 1992	N. Gulf of Maine & lower Bay of Fundy	67,500	0.23
Jul-Sep 1995	N. Gulf of Maine & lower Bay of Fundy	74,000	0.20
Inverse variance-weighted average of above 1991, 1992 and 1995 estimates		54,300	0.14

Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normally distributed best abundance estimate. This is equivalent to the 20th percentile of the log-normal distribution as specified by Wade and Angliss (1997). The best estimate of abundance for harbor porpoises is 54,300 (CV=0.14). The minimum population estimate for the Gulf of Maine/Bay of Fundy harbor porpoise is 48,289 (CV=0.14).

Current Population Trend

There are insufficient data to determine the population trends for this species. Previous abundance estimates for harbor porpoises in the Gulf of Maine/Bay of Fundy are available from earlier studies, (e. g. 4,000 animals, Gaskin 1977, and 15,800 animals, Kraus *et al.* 1983). These estimates cannot be used in a trends analysis because they were for selected small regions within the entire known summer range and, in some cases, did not incorporate any estimate of $g(0)$ (NEFSC 1992).

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Although current population growth rates of Gulf of Maine/Bay of Fundy harbor porpoises have not been estimated due to lack of data, several attempts have been made to estimate potential population growth rates. Barlow and Boveng (1991), who used a re-scaled human life table, estimated the upper bound of the annual potential growth rate to be 9.4%. Woodley and Read (1991) used a re-scaled Himalayan tahr life table to estimate a likely annual growth rate of 4%. In an attempt to estimate the potential population growth rate which incorporated many of the uncertainties in survivorship and reproduction, Caswell *et al.* (1998), using a Monte Carlo method to calculate a probability distribution of growth rates, estimated the median potential annual rate of increase is be approximately 10%, with a 90% confidence interval of 3-15%. This analysis underscored the considerable uncertainty that exists regarding the potential rate of increase in this population. Consequently, for the purposes of this assessment, the maximum net productivity rate was assumed to be 0.04, consistent with values used for other cetaceans for which direct observations of maximum rate of increase are not available. The 0.04 value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

POTENTIAL BIOLOGICAL REMOVAL

Potential Biological Removal (PBR) is the product of minimum population size, one-half the maximum productivity rate, and a “recovery” factor (MMPA Sec. 3. 16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size is 48,289 (CV=0.14). The maximum productivity rate is 0.04, the default value for cetaceans. The “recovery” factor, which accounts for endangered,

depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OSP) is assumed to be 0.5 because this stock is of unknown status. PBR for the Gulf of Maine/Bay of Fundy harbor porpoise is 483.

ANNUAL HUMAN-CAUSED MORTALITY

Fishery Information

Gulf of Maine/Bay of Fundy harbor porpoise takes have been documented in the USA New England multispecies sink gillnet, Mid-Atlantic coastal gillnet, Atlantic pelagic drift gillnet fisheries, and in the Canadian Bay of Fundy groundfish sink gillnet and herring weir fisheries. The USA average annual mortality estimate for 1993 to 1997 from the above USA fisheries is 1,704 (CV=0.09) harbor porpoises (Table 2). The Canadian average annual mortality estimate for 1993 to 1997 from the above Canadian fisheries is 146 harbor porpoises. It was not possible to estimate variance of the Canadian estimate. The total average annual mortality estimate for 1993 to 1997 from the USA and Canadian fisheries is 1,850 (Table 2).

USA

Recent data on incidental takes in USA fisheries are available from several sources. In 1986, NMFS established a mandatory self-reported fisheries information system for large pelagic fisheries. Data files are maintained at the Southeast Fisheries Science Center (SEFSC). The Northeast Fisheries Science Center (NEFSC) Sea Sampling Observer Program was initiated in 1989, and since that year several fisheries have been covered by the program. From late 1992, the SEFSC provided observer coverage of pelagic long line vessels fishing off the Grand Banks (Tail of the Banks) and south of Cape Hatteras.

New England Multispecies Sink Gillnet

Most of the harbor porpoise takes from USA fisheries are from the New England multispecies sink gillnet fishery. In 1984 the New England multispecies sink gillnet fishery was investigated by a sampling program that collected information concerning marine mammal by-catch. Approximately 10% of the vessels fishing in Maine, New Hampshire, and Massachusetts were sampled. Among the eleven gillnetters who received permits and logbooks, 30 harbor porpoises were reported caught. It was estimated, using rough estimates of fishing effort, that a maximum of 600 harbor porpoises were killed annually in this fishery (Gilbert and Wynne 1985, 1987).

In 1990, an observer program was started by the NMFS to investigate marine mammal takes in the New England multispecies sink gillnet fishery. There have been 411 harbor porpoise mortalities related to this fishery observed between 1990 and 1997 and one was released alive uninjured. In 1993, there were approximately 349 full and part-time vessels in the New England multispecies sink gillnet fishery, which covered the Gulf of Maine and southern New England (Table 2). An additional 187 vessels were reported to occasionally fish in the Gulf of Maine with gillnets for bait or personal use; however, these vessels were not covered by the observer program (Walden 1996) and their fishing effort was not used in estimating mortality. Observer coverage in terms of trips has been 1%, 6%, 7%, 5%, 7%, 5%, 4%, and 6% for years 1990 to 1997, respectively. By-catch in the northern Gulf of Maine occurs primarily from June to September; while in the southern Gulf of Maine by-catch occurs from January to May and September to December. Annual estimates of harbor porpoise by-catch in the New England multispecies sink gillnet fishery reflect seasonal distribution of the species and of fishing effort. By-catch estimates included a correction factor for the under-recorded number of by-caught animals that occurred during unobserved hauls on trips with observers on the boat, when applicable. Need for such a correction became evident following re-analysis of data from the sea sampling program indicating that for some years by-catch rates from unobserved hauls were lower than that for observed hauls. Further analytical details are given in Palka (1994), CUD (1994), and Bravington and Bisack (1996). These revised by-catch estimates replace those published earlier (Smith *et al.* 1993). These estimates are still negatively biased because they do not include harbor porpoises that fell out of the net while still underwater. This bias cannot be quantified at this time. Estimated annual by-catch (CV in parentheses) from this fishery during 1990-1997 was 2,900 in 1990 (0.32), 2,000 in 1991 (0.35), 1,200 in 1992 (0.21), 1,400 in 1993 (0.18) (Bravington and Bisack 1996; CUD 1994), 2,100 in 1994 (0.18), 1,400 in 1995 (0.27) (Bisack 1997a), 1,200 (0.25) in 1996, and 775 (0.24) in 1997. Average estimated harbor porpoise mortality and serious injury in the New England multispecies sink gillnet fishery during 1993-1997 was 1,375 (0.10).

There appeared to be no evidence of differential mortality in USA or Canadian gillnet fisheries by age or sex in animals collected before 1994, although there was substantial inter-annual variation in the age and sex composition of the by-catch (Read and Hohn 1995). However, with a larger sample, from harbor porpoises examined by necropsy or from tissues received from sea sampling observers (n=171 between 1989 and 1997), the sex ratio is now 58 females and 113 males (A. Read, pers com). Investigations are currently underway to determine spatial-temporal patterns in the sex ratio.

Two preliminary experiments, using acoustic alarms (pingers) attached to gillnets, that were conducted in the Gulf of Maine during 1992 and 1993 took 10 and 33 harbor porpoises, respectively. During fall 1994, a controlled scientific experiment was conducted in the southern Gulf of Maine, where all nets with and without active pingers were observed (Kraus *et al.* 1997). In this experiment 25 harbor porpoises were taken in 423 strings with non-active pingers (controls) and two harbor porpoises were taken in 421 strings with active pingers. In addition, 17 other harbor porpoises were taken in nets that did not follow the experimental protocol (Table 2). From 1995 to 1997, experimental fisheries were conducted where all nets in a designated area were required to use pingers and only a sample of the nets were observed. During November-December 1995, the experimental fishery was conducted in the southern Gulf of Maine (Jeffreys Ledge) region, where no harbor porpoises were observed taken in 225 pingered nets. During 1995, all takes from pingered nets were added directly to the estimated total by-catch for that year. During April 1996, three other experimental fisheries occurred. In the Jeffreys Ledge area, in 88 observed hauls using pingered nets nine harbor porpoises were taken. In the Massachusetts Bay region, in 171 observed hauls using pingered nets two harbor porpoises were taken. And, in a region just south of Cape Cod, in 53 observed hauls using pingered nets no harbor porpoises were taken. During 1997, experimental fisheries were allowed in the midcoast region during March 25 to April 25 and November 1 to December 31. During the 1997 spring experimental fishery, out of 538 observed hauls using pingered nets five harbor porpoises were taken. During the 1997 fall experimental fishery, out of 125 observed hauls using pingered nets no harbor porpoises were taken.

From 95 stomachs of harbor porpoises collected in groundfish gillnets in the Gulf of Maine between September and December 1989-94, Atlantic herring (*Clupea harengus*) was the most important prey. Pearlsides (*Maurolicus weitzmani*), silver hake (*Merluccius bilinearis*) and red and white hake (*Urophycis* spp.) were the next most common prey species (Gannon *et al.* 1998).

Mid-Atlantic Coastal Gillnet

Before an observer program was in place, Polacheck *et al.* (1995) reported one harbor porpoise incidentally taken in shad nets in the York River, Virginia. In July 1993 an observer program was initiated in the USA Atlantic coastal gillnet fishery by the NEFSC Sea Sampling program. This fishery, which extends from North Carolina to New York, is actually a combination of small vessel fisheries that target a variety of fish species, some of which operate right off the beach. The number of vessels in this fishery is unknown, because records which are held by both state and federal agencies have not been centralized and standardized. Twenty trips were observed during 1993. During 1994 and 1995, 221 and 382 trips were observed, respectively. Observer coverage, expressed as percent of tons of fish landed, was 5% for 1995, 4% for 1996, and 3% for 1997 (Table 2). No harbor porpoises were taken in observed trips during 1993 and 1994. During 1995 to 1997, respectively, 6, 19, and 32 harbor porpoises were observed taken (Table 2). Observed fishing effort has been concentrated off NJ and scattered between DE and NC from the beach to 50 miles off the beach. Documented by-catches during 1995 and 1997 were mostly from January to April, with the exception of one taken during May 1997, and one taken during December 1997. By-catch estimates were calculated using methods similar to that used for by-catch estimates in the New England multispecies gillnet fishery (Bravington and Bisack 1996; Bisack 1997a). Using the observed takes, the estimated annual mortality (CV in parentheses) attributed to this fishery was 103 (0.57) for 1995, 311 (0.31) for 1996, and 572 (0.35) for 1997. Average estimated harbor porpoise mortality and serious injury from the Mid-Atlantic coastal gillnet fishery during 1995 to 1997 was 329 (CV=0.23) (Table 2).

Pelagic Drift Gillnet

In 1996 and 1997, the NMFS issued management regulations which prohibited the operation of this fishery in 1997. Further, in January 1999 the NMFS issued a Final Rule to prohibit the use of driftnet gear in the North Atlantic swordfish fishery (50 CFR Part 630). One harbor porpoise was observed taken from the 1991-1996 Atlantic pelagic drift gillnet fishery. The estimated total number of hauls in the Atlantic pelagic drift gillnet fishery increased from 714 in 1989 to 1,144 in 1990; thereafter, with the introduction of quotas, effort was severely reduced. Fifty-nine different vessels participated in this fishery at one time or another between 1989 and 1993. In 1994, 1995, and 1996 there were 11, 12, and 10 vessels, respectively, in the fishery (Table 2). The estimated number of hauls in 1991, 1992, 1993, 1994, 1995 and 1996 were 233, 243, 232, 197, 164, and 149 respectively. Observer coverage, expressed as percent of sets observed was 8% in 1989, 6% in 1990, 20% in 1991, 40% in 1992, 42% in 1993, 87% in 1994, 99% in 1995, and 64% in 1996. The decline in observer coverage in 1996 is attributable to trips made by vessels that were deemed unsafe for observers due to the size or condition of the fishing vessel. Fishing effort was concentrated along the southern edge of Georges Bank and off Cape Hatteras. Examination of the species composition of the catch and locations of the fishery throughout the year suggested that the drift gillnet fishery be stratified into two strata, a southern or winter stratum, and a northern or summer stratum. Estimates of the total by-catch, for each year from 1989 to 1993, were obtained using the aggregated (pooled 1989-1993) catch rates, by strata (Northridge 1996). Estimates of total annual by-catch after 1993 were estimated from the sum of the observed caught and the product of the average by-catch per haul and the number of unobserved hauls as recorded in logbooks. Variances were

estimated using bootstrap re-sampling techniques (Bisack 1997b). The one observed by-catch was notable because it occurred in continental shelf edge waters adjacent to Cape Hatteras (Read *et al.* 1996). Estimated annual fishery-related mortality (CV in parentheses) attributable to this fishery was 0.7 in 1989 (7.00), 1.7 in 1990 (2.65), 0.7 in 1991 (1.00), 0.4 in 1992 (1.00), 1.5 in 1993 (0.34), and 0 in 1994 to 1996. The fishery was closed during 1997. Average estimated harbor porpoise mortality and serious injury in the Atlantic pelagic drift gillnet fishery during 1993-1996 was 0.4 (0.34) (Table 2).

North Atlantic Bottom Trawl

One harbor porpoise mortality was observed in the North Atlantic bottom trawl fishery between 1989 and 1997. Vessels in this fishery, a Category III fishery under the MMPA, were observed in order to meet fishery management needs, rather than marine mammal management needs. An average of 970 (CV = 0.04) vessels (full and part time) participated annually in the fishery during 1989-1993. This fishery is active in New England waters in all seasons. The one take occurred in February 1992 east of Barnegatt Inlet, New York at the continental shelf break. The animal was clearly dead prior to being taken by the trawl, because it was severely decomposed and the tow duration of 3.3 hours was insufficient to allow extensive decomposition; therefore, there is no estimated by-catch for this fishery.

CANADA

Bay of Fundy Sink Gillnet

During the 1980's, Canadian harbor porpoise by-catch in the Bay of Fundy sink gillnet fishery, based on casual observations and discussions with fishermen, was thought to be low. The estimated harbor porpoise by-catch in 1986 was 94-116 and in 1989 it was 130 (Trippel *et al.* 1996). The Canadian gillnet fishery occurs mostly in the western portion of the Bay of Fundy during the summer and early autumn months, when the density of harbor porpoises is highest. Polacheck (1989) reported there were 19 gillnetters active in 1986, 28 active in 1987, and 21 in 1988.

More recently, an observer program implemented in the summer of 1993 provided a total by-catch estimate of 424 harbor porpoises (± 1 SE: 200-648) from 62 observed trips, which is an 11.3% coverage of the Bay of Fundy trips (Trippel *et al.* 1996). This estimate is not considered to be as accurate as more recent estimates because of low observer coverage and the absence of temporal stratification (Trippel *et al.* 1996).

During 1994, the observer program was expanded to cover 49.4% of the gillnet trips (171 observed trips). The by-catch was estimated to be 101 harbor porpoises (95% confidence limit: 80-122), where the fishing fleet consisted of 28 vessels (Trippel *et al.* 1996).

During 1995, due to groundfish quotas being exceeded, the gillnet fishery was closed during July 21 to August 31, 1995. During the open fishing period of 1995, 89% of the fishing trips were observed, all in the Swallowtail region. Approximately 30% of these observed trips used pingered nets. The estimated by-catch was 87 harbor porpoises (Trippel *et al.* 1996). No confidence interval was able to be computed due to lack of coverage in the Wolves fishing grounds.

During 1996, the Canadian gillnet fishery was closed from July 20-31 and August 16-31 due to reduced groundfish quotas. From the 107 monitored trips, the by-catch from 1996 was estimated to be 20 harbor porpoises (Trippel *et al.* 1999; DFO 1998).

During 1997, the fishery was closed to the majority of the gillnet fleet from July 18-31 and August 16-31, due to reduced groundfish quotas. In addition a time-area closure to reduce porpoise by-catch in the Swallowtail area occurred during September 1-7, 1997. From the 75 monitored trips during 1997, 19 harbor porpoises were observed taken. After accounting for total fishing effort, the estimated by-catch in 1997 was 43 animals (DFO 1998).

During 1998, the number of fishing vessels was appreciably lower than in previous years due to very poor groundfish catch rates, even though the fishery was open throughout July to September. Seventeen trips were monitored and one harbor porpoise mortality was observed. Fishers independently reported an additional four porpoises. The Wolves and Head Harbour area had seven fishing trips in July and did not receive coverage. A preliminary total by-catch for Bay of Fundy in 1998 was estimated at 10 porpoises. No estimates of variability are available (DFO 1998).

Average estimated harbor porpoise mortality in the Canadian groundfish sink gillnet fishery during 1993-1997 was 135 (Table 2). No estimate of variability is possible.

Herring Weirs

Harbor porpoises takes have been observed in Canadian fishing weirs, though not in USA fishing weirs. However, no program has been set up to observe USA fishing weirs. In the Bay of Fundy, weirs are operating from May to September each year. Weirs are found along the southwestern shore of the Bay of Fundy, and scattered along the western Nova Scotia and northern Maine coasts. There were 180 active weirs in the western Bay of Fundy and 56 active weirs in Maine in 1990 (Read 1994). According to state of Maine officials, in 1998, the number of weirs in Maine waters has dropped to nearly zero due to the limited herring market (Jean

Chenoweth pers. comm.). According to Canadian DFO officials, for 1998, there were 225 licenses for herring weirs on the New Brunswick side and 30 from the Nova Scotia side of the Bay of Fundy (In New Brunswick: 60 from Grand Manan Island, 95 from Deer and Campobello Islands, 30 from Passamaquoddy Bay, 35 from East Charlotte area, and 5 from the Saint John area). This number has been fairly consistent since 1985 (Ed Trippel, pers. comm.) The actual number of active weirs in the USA is not known.

Smith *et al.* (1983) estimated approximately 70 harbor porpoises become trapped annually and, on average, 27 died annually, and the rest were released alive. At least 43 harbor porpoises were trapped in Bay of Fundy weirs in 1990, but the number killed is unknown. In 1993, after a cooperative program between fishermen and Canadian biologists began, over 100 harbor porpoises were released alive and an unknown number died (Read 1994). Due to the cooperative program, out of 263 documented harbor porpoises caught in herring weirs during 1992 to 1994, 57 died while the rest were either released or escaped. The numbers that died during the seining process (and were released alive) were 11 (and 50) in 1992, 33 (and 113) in 1993, and 13 (and 43) in 1994 (Neimanis *et al.* 1995). Out of 125 documented harbor porpoises caught in herring weirs during 1995 to 1998, 11 died while the rest were either released or escaped. The numbers that died (and were released alive or escaped) were 5 (and 60) in 1995; 2 (and 4) in 1996; 2 (and 24) in 1997; and 2 (and 26) in 1998 (Westgate, per. comm.).

Average estimated harbor porpoise mortality in the Canadian herring weir fishery during 1993-1997 was 11 (Table 2). No estimate of variance is possible.

Table 2. Summary of the incidental mortality of harbor porpoise (*Phocoena phocoena*) by commercial fishery including the years sampled (Years), the number of vessels active within the fishery (Vessels), the type of data used (Data Type), the annual observer coverage (Observer Coverage), the mortalities recorded by on-board observers (Observed Mortality), the estimated annual mortality (Estimated Mortality), the estimated CV of the annual mortality (Estimated CVs) and the mean annual mortality (CV in parentheses).

Fishery	Years	Vessels	Data Type ¹	Observer Coverage ²	Observed Mortality	Estimated Mortality	Estimated CVs	Mean Annual Mortality
USA								
New England Multispecies Sink Gillnet	93-97	1993=349	Obs. Data Weighout, Trip Logbook	.05, .07, .05, .04, .06	53 ³ ,99 ³ , 43 ³ ,52 ³ , 47 ³	1400 ³ ,2100 ³ ,1 400 ³ ,1200 ³ ,77 5 ³	.18, .18, .27, .25, .24	1375 (.10)
Mid-Atlantic Coastal Sink Gillnet	95-97 ⁶	Unk ⁷	Obs. Data Weighout	.05, .04, .03	6, 19, 32	103, 311, 572	.57, .31, .35	329 (0.23)
Pelagic Drift Gillnet	93-97	1994=11 ⁴ 1995=12 1996=10 1997=NA ⁸	Obs. Data Logbook	.42, .87, .99, .64, NA ⁸	1,0, 0, 0, NA ⁸	1.5 ⁵ , 0, 0, 0, NA ⁸	.34, 0, 0, 0, NA ⁸	0.4 ⁸ (.34)
USA TOTAL								1704 (0.09)
CANADA								
Groundfish Sink Gillnet	93-98	1994=28	Obs. Data Can. Trips	.11,.49,.89, .8,.8,.8	25,49,25, 13,19,1	424,101,87,20 ,43,10	NA	135 ⁹ (NA)
Herring Weir	93-98	1998=255 licenses ¹⁰	Coop. Data	NA	33,13,5, 2,2,2	33,13,5, 2,2,2	NA	11 ⁹ (NA)
CANADIAN TOTAL								146 (NA)
TOTAL								1850 (NA)

NA = Not available.

¹ Observer data (Obs. Data) are used to measure by-catch rates; the USA data are collected within the Northeast Fisheries Science Center (NEFSC) Sea Sampling Program, the Canadian data are collected by DFO. NEFSC collects Weighout (Weighout) landings data, and total landings are used as a measure of total effort for the USA sink gillnet fisheries. The Canadian DFO catch and effort statistical system collected the total number of trips fished by the Canadians (Can. trips), which was the measure of total effort for the Canadian groundfish gillnet fishery. Mandatory trip logbook (Trip Logbook) data are used to determine the spatial distribution of some fishing effort in the New England multispecies sink gillnet fishery. Mandatory logbook (Logbook) data are used to measure total effort for the pelagic drift gillnet fishery, and these data are collected at the Southeast Fisheries Science Center (SEFSC). Observed mortalities from herring weirs are collected by a cooperative program between fishermen and Canadian biologists (Coop. Data).

- ² The observer coverage for the USA and Canadian sink gillnet fishery is measured in trips, for the pelagic drift gillnet fishery the unit of effort is a set, and for the Mid-Atlantic coastal sink gillnet fishery the unit of effort is tons of fish landed.
- ³ Harbor porpoise taken during and before 1997 in observed pinger trips were added directly to the estimated total by-catch for that year. There were 10, 33, 44, 0, 11, and 8 observed harbor porpoise takes on pinger trips from 1992 to 1997, respectively. In addition, there were 9 and 2 observed harbor porpoise takes in 1995 and 1997, respectively on trips dedicated to fish sampling versus marine mammals (Bisack 1997a).
- ⁴ 1994, 1995, and 1996 shown, other years not available on an annual basis.
- ⁵ For 1991-1993, pooled by-catch rates were used to estimate by-catch in months that had fishing effort but did not have observer coverage (Northridge 1996). After 1993, observer coverage increased substantially, and by-catch rates were annual rates (Bisack 1997b). The fishery was closed during 1997.
- ⁶ Only data after 1994 are reported because the observed coverages during 1993 and 1994 were negligible during the times of the year when harbor porpoise takes were possible.
- ⁷ The number of vessels in the Mid-Atlantic coastal sink gillnet fishery is presently not available.
- ⁸ Fishery closed during 1997. So average by-catch is from 1993 to 1996.
- ⁹ To be consistent with years in USA calculations, average is calculated from 1993 to 1997 estimates.
- ¹⁰ There were 255 licenses for herring weirs in the Canadian Bay of Fundy region.

Other Mortality

There is evidence that harbor porpoises were harvested by natives in Maine and Canada before the 1960's, and the meat was used for human consumption, oil, and fish bait (NEFSC 1992). The extent of these past harvests is unknown, though it is believed to be small. Up until the early 1980's, small kills by native hunters (Passamaquoddy Indians) were reported. In recent years it was believed to have nearly stopped (Polacheck 1989) until recent public media reports in September 1997 depicted a Passamaquoddy tribe member dressing out a harbor porpoise. Further articles describing use of porpoise products for food and other purposes were timed to coincide with ongoing legal action in state court.

Sixty-four harbor porpoise strandings were reported from Maine to North Carolina between January and June, 1993. Fifty of those harbor porpoises were reported stranded in the USA Atlantic region from New York to North Carolina between February and May. Many of the carcasses recovered in this area during this time period had cuts and body damage suggestive of net marking (Haley and Read 1993). Five out of eight carcasses and fifteen heads from the strandings that were examined showed signs of human interactions (net markings on skin and missing flippers or flukes). Decomposition of the remaining animals prevented determination of the cause of death. Earlier reports of harbor porpoise entangled in gillnets in Chesapeake Bay and along the New Jersey coast and reports of apparent mutilation of harbor porpoise carcasses, raised concern that the 1993 strandings were related to a coastal net fishery, such as the American shad coastal gillnet fishery (Haley and Read 1993).

Between 1994 and 1996, 107 harbor porpoise carcasses were recovered from beaches in Maryland, Virginia, and North Carolina. Only juvenile harbor porpoises were present in this sample. Of the 40 harbor porpoises for which cause of death could be established, 25 displayed definitive evidence of entanglement in fishing gear. In four cases it was possible to determine that the animal was entangled in monofilament nets (Cox *et al.* 1998).

During 1996 and 1997, the NE Regional Office/NMFS strandings and entanglement database recorded 211 harbor porpoises that stranded on beaches from North Carolina to Maine (Table 3). Of these, three stranded alive on a Massachusetts beach and were tagged and subsequently released. The largest number of recorded strandings were on Massachusetts beaches. The states with the next largest numbers were North Carolina, New Jersey and Virginia, in that order. The number of these strandings that show signs of human interactions is presently being investigated.

Stranding data probably underestimate the extent of fishery-related mortality and serious injury because not all of the marine mammals which die or are seriously injured may wash ashore, nor will all of those that do wash ashore necessarily show signs of entanglement or other fishery-interaction. Finally, the level of technical expertise among stranding network personnel varies widely as does the ability to recognize signs of fishery interaction.

Table 3. Summary of number of stranded harbor porpoises during January 1, 1996 to December 31, 1997, by state and year.

State	Year		Total
	1996	1997	
Maine	5	6	11
New Hampshire	2	0	2
Massachusetts ¹	28	28	56
Rhode Island	1	1	2
Connecticut	1	0	1
New York	3	10	13
New Jersey	12	21	33
Delaware	4	3	7
Maryland	3	10	13
Virginia	20	12	32
North Carolina	12	26	38
TOTAL	91	117	208

¹ During 1996, an additional three animals stranded alive on a Massachusetts beach. They were tagged and released.

STATUS OF STOCK

The status of harbor porpoises, relative to OSP, in the USA Atlantic EEZ is unknown. On January 7, 1993, the National Marine Fisheries Service (NMFS) proposed listing the Gulf of Maine harbor porpoise as threatened under the Endangered Species Act (NMFS 1993). On January 5, 1999, the NMFS determined the proposed listing was not warranted (NMFS 1999). There are insufficient data to determine population trends for this species. The total fishery-related mortality and serious injury for this stock is not less than 10% of the calculated PBR and, therefore, cannot be considered to be insignificant and approaching zero mortality and serious injury rate. This is a strategic stock because average annual fishery-related mortality and serious injury exceeds PBR.

REFERENCES

- Barlow, J. and P. Boveng. 1991. Modeling age-specific mortality for marine mammal populations. *Mar. Mamm. Sci.* 7:50-65.
- Barlow, J., S. L. Swartz, T. C. Eagle, and P. R. Wade. 1995. U.S. Marine mammal stock assessments: Guidelines for preparation, background, and a summary of the 1995 assessments. U.S. DEP. Commer., NOAA Tech. Memo. NMFS-OPR-6, 73 pp.
- Bisack, K.D. 1997a. Harbor porpoise bycatch estimates in the New England multispecies sink gillnet fishery: 1994 and 1995. *Rep. int Whal. Comm* 47: 705-14.
- Bisack, K.D. 1997b. Marine mammal bycatch estimates and their sampling distributions in the U.S. New England sink gillnet, pair trawl, Atlantic pelagic drift gillnet and North Atlantic bottom trawl fisheries: 1994 to 1996. Working paper SC/49/SM35 submitted to the IWC Scientific Committee meeting in Bournemouth, UK, Aug/Sept 1997.
- Bravington, M. V. and K. D. Bisack. 1996. Estimates of harbor porpoise by-catch in the Gulf of Maine sink gillnet fishery, 1990-1993. *Rep. int Whal. Comm* 46: 567-74.
- Caswell, H., S. Brault, A. Read, and T. Smith. 1998. Harbor porpoise and fisheries: an uncertainty analysis of incidental mortality. *Ecological Applications* 84(4):1226-1238.

- Cox, T.M., A.J. Read, S. Barco, J. Evans, D. Gannon, H.N. Koopman, W.A. McLellan, K. Murray, J. Nicolas, D.A. Pabst, C. Potter, M. Swingle, V.G. Thayer, K.M. Touhey, and A.J. Westgate. 1998. Documenting the bycatch of harbor porpoises, *Phocoena phocoena*, in coastal gill net fisheries from stranded carcasses. *Fishery Bulletin* 96(4): 727-734.
- CUD [Conservation and Utilization Division]. 1994. Estimating harbor porpoise by-catch in the Gulf of Maine sink gillnet fishery, NOAA, NMFS, NEFSC Ref. Doc. 94-24, Woods Hole, Massachusetts.
- DFO [Department of Fisheries and Oceans]. 1998. Harbour porpoise by-catch in the lower Bay of Fundy gillnet fishery. DFO Maritimes Regional Fisheries Status Report 98/7E. [Available from Department of Fisheries and Oceans, Resource management Branch, P.O. Box 550, Halifax, NS B3J 2S7, Canada.]
- Gannon, D.P., J.E. Craddock and A.J. Read. 1998. Autumn food habits of harbor porpoises, *Phocoena phocoena*, in the Gulf of Maine. *U.S. Fish. Bull.* 96:428-437.
- Gaskin, D. E. 1977. Harbour porpoise, *Phocoena phocoena* (L.), in the western approaches to the Bay of Fundy 1969-75. *Rep. int Whal. Comm* 27: 487-492.
- Gaskin, D. E. 1984. The harbor porpoise *Phocoena phocoena* (L.): Regional populations, status, and information on direct and indirect catches. *Rep. int Whal. Comm* 34: 569-586.
- Gaskin, D. E. 1992. The status of the harbour porpoise. *Can. Fld. Nat.* 106: 36-54.
- Gilbert, J. R. and K. M. Wynne. 1985. Harbor seal populations and fisheries interactions with marine mammals in New England, 1984. Fourth Annual Report, Contract NA-80-FA-C-00029, Northeast Fisheries Center, Woods Hole, MA, 15 pp.
- Gilbert, J. R. and K. M. Wynne. 1987. Harbor seal populations and fisheries interactions with marine mammals in New England. Final Report Contract NA-EA-C-0070, Northeast Fisheries Center, Woods Hole, Massachusetts. 15 pp.
- Haley, N. J. and A. J. Read. 1993. Summary of the workshop on harbor porpoise mortalities and human interaction. NOAA Tech. Mem. NMFS-F/NER 5.
- Johnston, D.W. 1995. Spatial and temporal differences in heavy metal concentrations in the tissues of harbour porpoises (*Phocoena phocoena* L.) from the western North Atlantic. M.S. Thesis, University of Guelph, Guelph, Ontario, Canada. 152pp.
- Kraus, S.D., A. Read, E. Anderson, K. Baldwin, A. Solow, T. Sprawling and J. Williamson. 1997. Acoustic alarms reduce porpoise mortality. *Nature* 388: 525.
- Kraus, S. D., J. H. Prescott, and G. S. Stone. 1983. Harbour porpoise, *Phocoena phocoena*, in the U.S. coastal waters of the Gulf of Maine: A survey to determine seasonal distribution and abundance. Report to the Director, National Marine Fisheries Service, Northeast Region, Woods Hole, Massachusetts, 15 pp.
- Neimanis, A.S., Read, A.J., Westgate, A.J., Koopman, H.N., Wang, J.Y., Murison, L.D., and Gaskin, D.E. 1995. Entrapment of harbour porpoises (*Phocoena phocoena*) in herring weirs in the Bay of Fundy, Canada. Working paper SC/47/Sm18 for the International Whaling Commission, Dublin, Ireland.
- NEFSC [Northeast Fisheries Science Center]. 1992. Harbor porpoise in eastern North America: Status and research needs. Results of a scientific workshop held May 5-8, 1992 at the Northeast Fisheries Science Center, Woods Hole, MA. NOAA, NMFS, NEFSC Ref. Doc. 92-06, Woods Hole, Massachusetts.
- NMFS [National Marine Fisheries Service]. 1993. Proposed listing of Gulf of Maine population of harbor porpoises as threatened under the Endangered Species Act. Federal Register 58: 3108-3120, January 07, 1993.
- NMFS [National Marine Fisheries Service]. 1999. Listing of Gulf of Maine/Bay of Fundy population of harbor porpoise as threatened under the Endangered Species Act. Federal Register 64 (2): 465-471, January 05, 1999.
- Northridge, S. 1996. Estimation of cetacean mortality in the U.S. Atlantic swordfish and tuna driftnet and pair trawl fisheries. Final report to the Northeast Fisheries Science Center, Contract No. 40ENNF500045, 18 pp.
- Palka, D. 1996. Update on abundance of Gulf of Maine/Bay of Fundy harbor porpoises. Northeast Fish. Sci. Cent. Ref. Doc. 96-04; 37p. Available from: National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026.
- Palka, D.L., Read, A.J., Westgate, A.J. and Johnston, D.W. 1996. Summary of current knowledge of harbour porpoises in U.S. and Canadian Atlantic waters. *Rep. int Whal. Commn* 46:559-565.
- Palka, D. 1995a. Abundance estimate of the Gulf of Maine harbor porpoise. pp. 27-50 In: A. Bjørge and G.P. Donovan (eds.) *Biology of the Phocoenids. Rep. int Whal. Commn. Special Issue 16.*
- Palka, D. 1995b. Influences on spatial patterns of Gulf of Maine harbor porpoises. pp. 69-75 In: A.S. Blix, L. Walløe and Ø. Ulltang (eds.) *Whales, seals, fish and man.* Elsevier Science B.V. The Netherlands.
- Palka, D. (ed). 1994. Results of a scientific workshop to evaluate the status of harbor porpoises (*Phocoena phocoena*) in the western North Atlantic. NOAA, NMFS, NEFSC [Northeast Fisheries Science Center] Ref. Doc. 94-09, Woods Hole, Massachusetts. .

- Polacheck, T. 1989. Harbor porpoises and the gillnet fishery. *Oceanus* 32(1): 63-70.
- Polacheck, T., F. W. Wenzel, and G. Early. 1995. What do stranding data say about harbor porpoises (*Phocoena phocoena*). pp 169-180 In: A. Bjørge and G.P. Donovan (eds.) Biology of the Phocoenids. *Rep. int Whal. Commn. Special Issue 16*.
- Read, A. J. 1994. Interactions between cetaceans and gillnet and trap fisheries in the northwest Atlantic. *Rep. int Whal. Commn. Special Issue 15: 133-147*.
- Read, A.J. and A.J. Westgate. 1997. Monitoring the movements of harbour porpoises (*Phocoena phocoena*) with satellite telemetry. *Marine Biology* 130:315-22.
- Read, A.J., J.R. Nicolas and J.E. Craddock. 1996. Winter capture of a harbor porpoise in a pelagic drift net off North Carolina. *Fish Bull US* 94: 381-83.
- Read, A. J. and A. A. Hohn. 1995. Life in the fast lane: The life history of harbour porpoises from the Gulf of Maine. *Mar. Mamm. Sci* 11(4):423-440.
- Smith, G. J. D., A. J. Read, and D. E. Gaskin. 1983. Incidental catch of harbor porpoises, *Phocoena phocoena* (L.), in herring weirs in Charlotte County, New Brunswick, Canada. *Fish. Bull., U.S.* 81(3): 660-2.
- Smith, T., D. Palka, and K. Bisack. 1993. Biological significance of by-catch of harbor porpoise in the Gulf of Maine demersal gillnet fishery. NOAA, NMFS, NEFSC [Northeast Fisheries Science Center] Ref. Doc. 93-23, Woods Hole, Massachusetts.
- Trippel, E.A., J. Y. Wang, M.B. Strong, L. S. Carter and J. D. Conway. 1996. Incidental mortality of harbour porpoise (*Phocoena phocoena*) by the gillnet fishery in the lower Bay of Fundy. *Can. J. Fish. Aquat. Sci.* 53:1294-1300.
- Trippel, E.A., Strong, M.B., Terhune, J.M., and Conway, J.D. 1999. Mitigation of harbour porpoise (*Phocoena phocoena*) by-catch in the gillnet fishery in the lower Bay of Fundy. *Can. J. Fish. Aquat. Sci.* 56: 113-123.
- Wade, P. R. and R. P. Angliss. 1997. Guidelines for assessing marine mammal stocks: Report of the GAMMS workshop April 3-5, 1996, Seattle, Washington. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-12, 93 pp.
- Wang, J.Y., D.E. Gaskin and B.N. White. 1996. Mitochondrial DNA analysis of harbour porpoise, *Phocoena phocoena*, subpopulations in North American waters. *Can J Fish Aquat Sciences* 53: 1632-45.
- Walden, J. 1996. The New England gillnet effort study. NOAA, NMFS, NEFSC Ref. Doc. No. 96-10, 38pp. Northeast Fisheries Science Center, Woods Hole, Massachusetts.
- Westgate, A.J., D.C.G. Muir, D.E. Gaskin, M.C.S. and Kingsley. 1997. Concentrations and accumulation patterns of organochlorine contaminants in the blubber of harbour porpoises, *Phocoena phocoena*, from the coast of Newfoundland, the Gulf of St. Lawrence and the Bay of Fundy/Gulf of Maine. *Envir. Pollut* 95: 105-119.
- Woodley, T. H. and A. J. Read. 1991. Potential rates of increase of a harbor porpoise (*Phocoena phocoena*) population subjected to incidental mortality in commercial fisheries. *Can. J. Fish. Aquat.* 48:2429-35.